

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: MITCHELL, MCLEOD, PUGH & WILLIAMS, INC.	CRD Number: 138240
Other-Than-Annual Amendment - All Sections	Rev. 10 / 2021
7 / 9 / 2026 1:58:09 PM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

A. Your full legal name (if you are a sole proprietor, your last, first, and middle names):
MITCHELL, MCLEOD, PUGH & WILLIAMS, INC.

B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
MITCHELL, MCLEOD, PUGH & WILLIAMS, INC.

List on *Section 1.B. of Schedule D* any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a *Schedule R* for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of ☐ your legal name or ☐ your primary business name:

D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: **801-65005**
(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:
(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

CIK Number
1632105

E. (1) If you have a number ("CRD Number") assigned by the *FINRA's CRD* system or by the IARD system, your *CRD* number: **138240**

If your firm does not have a *CRD* number, skip this Item 1.E. Do not provide the *CRD* number of one of your officers, employees, or affiliates.

(2) If you have additional *CRD* Numbers, your additional *CRD* numbers:

No Information Filed

F. Principal Office and Place of Business

(1) Address (do not use a P.O. Box):

Number and Street 1:	
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For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P.

Provide your *Legal Entity Identifier* if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

No Information Filed

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform:

HTTP://MMPW.COM

Address of Website/Account on Publicly Available Social Media Platform:

https://www.linkedin.com/company/mitchell-mcleod-pugh-&-williams/

SECTION 1.L. Location of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D, Section 1.L. for each location.

Name of entity where books and records are kept:
MIRRORWEB

Number and Street 1:
600 CONGRESS AVENUE

City:
AUSTIN

State:
Texas

Number and Street 2:
SUITE 14020

Country:
United States

ZIP+4/Postal Code:
78701

If this address is a private residence, check this box: ☐

Telephone Number:
+1 302-597-735

Facsimile number, if any:

This is (check one):

☐ one of your branch offices or affiliates.

☒ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
EMAIL ARCHIVING.

Name of entity where books and records are kept:
FAIRVIEW INVESTMENT SERVICES, LLC

Number and Street 1:
1330 ST. MARY'S STREET

City:

State:

Number and Street 2:
SUITE 400

Country:

ZIP+4/Postal Code:

RALEIGH	North Carolina	United States	27605
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If this address is a private residence, check this box: ☐

Telephone Number:
919-706-4100

Facsimile number, if any:
800-770-9925

This is (check one):

☐ one of your branch offices or affiliates.

☒ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
ADDITIONAL COPIES OF COMPLIANCE RECORDS.

Name of entity where books and records are kept:
ORION ADVISOR TECHNOLOGY

Number and Street 1:
17605 WRIGHT STREET

City:
OMAHA

State:
Nebraska

Country:
United States

Number and Street 2:

ZIP+4/Postal Code:
68130

If this address is a private residence, check this box: ☐

Telephone Number:
402-496-3513

Facsimile number, if any:

This is (check one):

☐ one of your branch offices or affiliates.

☒ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT-RELATED BOOKS AND RECORDS.

Name of entity where books and records are kept:
BROADRIDGE

Number and Street 1:
5 DAKOTA DRIVE

City:
LAKE SUCCESS

State:
New York

Country:
United States

Number and Street 2:
SUITE 300

ZIP+4/Postal Code:
11042

If this address is a private residence, check this box: ☐

Telephone Number:
1-800-353-0103

Facsimile number, if any:

This is (check one):

☐ one of your branch offices or affiliates.

☒ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
PROXY VOTE ARCHIVE.

Name of entity where books and records are kept:
MICROSOFT CORPORATION

