

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: MERCER GLOBAL ADVISORS INC.	CRD Number: 147363
Other-Than-Annual Amendment - All Sections	Rev. 10 / 2021
7 / 6 / 2026 2:59:56 PM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

A. Your full legal name (if you are a sole proprietor, your last, first, and middle names):
MERCER GLOBAL ADVISORS INC.

B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
MERCER GLOBAL ADVISORS INC.

List on *Section 1.B. of Schedule D* any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a *Schedule R* for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of
☐ your legal name or ☐ your primary business name:

D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: **801-69271**
(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:
(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

CIK Number
853758

E. (1) If you have a number ("CRD Number") assigned by the *FINRA*'s *CRD* system or by the IARD system, your *CRD* number: **147363**

If your firm does not have a *CRD* number, skip this Item 1.E. Do not provide the *CRD* number of one of your officers, employees, or affiliates.

(2) If you have additional *CRD* Numbers, your additional *CRD* numbers:
No Information Filed

F. Principal Office and Place of Business

(1) Address (do not use a P.O. Box):
Number and Street 1: 1200 17TH STREET
City: DENVER State: Colorado
Number and Street 2: SUITE 2000
Country: United States ZIP+4/Postal Code: 80202

If this address is a private residence, check this box: ☐

List on *Section 1.F. of Schedule D* any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

(2) Days of week that you normally conduct business at your *principal office and place of business*:
☒ Monday - Friday ☐ Other:

Normal business hours at this location:
8AM-5PM

(3) Telephone number at this location:
(888) 885-8101

(4) Facsimile number at this location, if any:

(5) What is the total number of offices, other than your *principal office and place of business*, at which you conduct investment advisory business as of the end

☐ \$50 billion or more

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

Name: MERCER ADVISORS

Jurisdictions

☒ AL	☒ IL	☒ NE	☒ SC
☒ AK	☒ IN	☒ NV	☒ SD
☒ AZ	☒ IA	☒ NH	☒ TN
☒ AR	☒ KS	☒ NJ	☒ TX
☒ CA	☒ KY	☒ NM	☒ UT
☒ CO	☒ LA	☒ NY	☒ VT
☒ CT	☒ ME	☒ NC	☐ VI
☒ DE	☒ MD	☒ ND	☒ VA
☒ DC	☒ MA	☒ OH	☒ WA
☒ FL	☒ MI	☒ OK	☒ WV
☒ GA	☒ MN	☒ OR	☒ WI
☐ GU	☒ MS	☒ PA	☒ WY
☒ HI	☒ MO	☒ PR	☐ Other:
☒ ID	☒ MT	☒ RI	

SECTION 1.F. Other Offices

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:		Number and Street 2:	
5555 SAN FELIPE STREET		SUITE 200 AND 1000	
City:	State:	Country:	ZIP+4/Postal Code:
HOUSTON	Texas	United States	77056

If this address is a private residence, check this box: ☐

Telephone Number:	Facsimile Number, if any:
(800) 825-5152	

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

19

Are other business activities conducted at this office location? (check all that apply)

☐ (1) Broker-dealer (registered or unregistered)

☐ (2) Bank (including a separately identifiable department or division of a bank)

☐ (3) Insurance broker or agent

☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

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Number and Street 1:
5348 CARROL CANYON ROAD

City:
SAN DIEGO

State:
California

Country:
United States

Number and Street 2:
SUITE 200

ZIP+4/Postal Code:
92121

If this address is a private residence, check this box: ☐

Telephone Number:
(858) 750-4209

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
6

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- ☐ (1) Broker-dealer (registered or unregistered)
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Number and Street 1:
124 NW 10TH

City:
OKLAHOMA CITY

State:
Oklahoma

Country:
United States

Number and Street 2:

ZIP+4/Postal Code:
73103

If this address is a private residence, check this box: ☐

Telephone Number:
(405) 286-2100

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
8

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Number and Street 1:
51 SAWYER ROAD

City:
WALTHAM

State:
Massachusetts

Country:
United States

Number and Street 2:
6TH FLOOR

ZIP+4/Postal Code:
02453

If this address is a private residence, check this box: ☐

Telephone Number:
(617) 547-6717

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
9

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Number and Street 1:
475 N. MARTINGALE ROAD

City:
SCHAUMBURG

State:
Illinois

Country:
United States

Number and Street 2:
SUITE 300

ZIP+4/Postal Code:
60173

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 642-3000

Facsimile Number, if any:
847-619-0281

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
7

Are other business activities conducted at this office location? (check all that apply)

- ☐ (1) Broker-dealer (registered or unregistered)
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Number and Street 1:		Number and Street 2:	
590 W CENTRE AVE			
City:	State:	Country:	ZIP+4/Postal Code:
PORTAGE	Michigan	United States	49024

If this address is a private residence, check this box: ☐

Telephone Number:	Facsimile Number, if any:
(269) 488-6900	

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

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Number and Street 1:		Number and Street 2:	
8333 DOUGLAS AVENUE		SUITE 750	
City:	State:	Country:	ZIP+4/Postal Code:
DALLAS	Texas	United States	75225

If this address is a private residence, check this box: ☐

Telephone Number:	Facsimile Number, if any:
(214) 691-6090	

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

14

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Number and Street 1:
1256 E. KINGSLEY STREET

City:
SPRINGFIELD

State:
Missouri

Country:
United States

Number and Street 2:

ZIP+4/Postal Code:
65804

If this address is a private residence, check this box: ☐

Telephone Number:
(417) 882-7283

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:
38993

How many *employees* perform investment advisory functions from this office location?
6

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Number and Street 1:
222 EAST CARILLO STREET

City:
SANTA BARBARA

State:
California

Country:
United States

Number and Street 2:
SUITE 400

ZIP+4/Postal Code:
93101

If this address is a private residence, check this box: ☐

Telephone Number:
(877) 642-5224

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
9

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Number and Street 1:
7033 EAST GREENWAY PARKWAY

City:
SCOTTSDALE

State:
Arizona

Country:
United States

Number and Street 2:
SUITE 290

ZIP+4/Postal Code:
85254

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-8085

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

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Number and Street 1:
822 N AIA HWY

City:
PONTE VEDRA BEACH

State:
Florida

Country:
United States

Number and Street 2:
SUITE 206

ZIP+4/Postal Code:
32082

If this address is a private residence, check this box: ☐

Telephone Number:
(904) 639-6383

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

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Number and Street 1: 67 PARK PLACE EAST		Number and Street 2: SUITE 700	
City: MORRISTOWN	State: New Jersey	Country: United States	ZIP+4/Postal Code: 79600

If this address is a private residence, check this box: ☐

Telephone Number: (973) 605-8311	Facsimile Number, if any:
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If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

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Number and Street 1: 600 HIGHWAY 169 SOUTH		Number and Street 2: SUITE 1945	
City: MINNEAPOLIS	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55426

If this address is a private residence, check this box: ☐

Telephone Number:	Facsimile Number, if any:
-------------------	---------------------------

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
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Number and Street 1:
4600 SOUTH ULSTER STREET

City:
DENVER

State:
Colorado

Country:
United States

Number and Street 2:
SUITE 1050

ZIP+4/Postal Code:
80237

If this address is a private residence, check this box: ☐

Telephone Number:
(303) 221-4867

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
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Number and Street 1:
5550 TOPANGA CANYON BLVD

City:
WOODLAND HILLS

State:
California

Country:
United States

Number and Street 2:
SUITE 350

ZIP+4/Postal Code:
91367

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 642-1000

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

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Number and Street 1:
9740 APPALOOSA ROAD

City:
SAN DIEGO

State:
California

Country:
United States

Number and Street 2:
SUITE 100

ZIP+4/Postal Code:
92131

If this address is a private residence, check this box: ☐

Telephone Number:
(800) 926-1681

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
11

- Are other business activities conducted at this office location? (check all that apply)
- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:
6345 BALBOA AVENUE

City:
ENCINO

State:
California

Country:
United States

Number and Street 2:
BUILDING 4, SUITE 375

ZIP+4/Postal Code:
91316

If this address is a private residence, check this box: ☐

Telephone Number:

Facsimile Number, if any:

(818) 654-4480

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

5

Are other business activities conducted at this office location? (check all that apply)

- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:

100 PINE STREET

City:

SAN FRANCISCO

Number and Street 2:

SUITE 2150

Country:

United States

State:

California

ZIP+4/Postal Code:

94111

If this address is a private residence, check this box: ☐

Telephone Number:

Facsimile Number, if any:

(650) 838-1030

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

13

Are other business activities conducted at this office location? (check all that apply)

- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:

Number and Street 2:

2595 CANYON BLVD

SUITE 320

City:

State:

Country:

ZIP+4/Postal Code:

BOULDER

Colorado

United States

80302

If this address is a private residence, check this box:

☐

Telephone Number:

Facsimile Number, if any:

(800) 525-0961

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

9

Are other business activities conducted at this office location? (check all that apply)

☐ (1) Broker-dealer (registered or unregistered)

☐ (2) Bank (including a separately identifiable department or division of a bank)

☐ (3) Insurance broker or agent

☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

☐ (5) Registered municipal advisor

☐ (6) Accountant or accounting firm

☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:

Number and Street 2:

8180 GREENSBORO DRIVE

SUITE 1010

City:

State:

Country:

ZIP+4/Postal Code:

MCLEAN

Virginia

United States

22102

If this address is a private residence, check this box:

☐

Telephone Number:

Facsimile Number, if any:

(703) 773-2474

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?

9

Are other business activities conducted at this office location? (check all that apply)

☐ (1) Broker-dealer (registered or unregistered)

☐ (2) Bank (including a separately identifiable department or division of a bank)

☐ (3) Insurance broker or agent

☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

☐ (5) Registered municipal advisor

☐ (6) Accountant or accounting firm

☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:
100 PRINGLE AVENUE

City:
WALNUT CREEK

State:
California

Number and Street 2:
SUITE 310

Country:
United States

ZIP+4/Postal Code:
94596

If this address is a private residence, check this box: ☐

Telephone Number:
(877) 494-5123

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
16

- Are other business activities conducted at this office location? (check all that apply)
- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:
5555 GLENRIDGE CONNECTOR

City:
ATLANTA

State:
Georgia

Number and Street 2:
SUITE 825

Country:
United States

ZIP+4/Postal Code:
30342

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 975-4554

Facsimile Number, if any:

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
25

- Are other business activities conducted at this office location? (check all that apply)
- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 620 NEWPORT CENTER DRIVE		Number and Street 2: SUITE 1030	
City: NEWPORT BEACH	State: California	Country: United States	ZIP+4/Postal Code: 92660

If this address is a private residence, check this box: ☐

Telephone Number: (800) 394-3894	Facsimile Number, if any:
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If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
17

Are other business activities conducted at this office location? (check all that apply)

- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 10655 NORTHEAST 4TH STREET		Number and Street 2: SUITE 810	
City: BELLEVUE	State: Washington	Country: United States	ZIP+4/Postal Code: 98004

If this address is a private residence, check this box: ☐

Telephone Number: (888) 642-4636	Facsimile Number, if any:
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If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
7

Are other business activities conducted at this office location? (check all that apply)

- ☐ (1) Broker-dealer (registered or unregistered)
- ☐ (2) Bank (including a separately identifiable department or division of a bank)
- ☐ (3) Insurance broker or agent
- ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐ (5) Registered municipal advisor
- ☐ (6) Accountant or accounting firm
- ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 767 THIRD AVENUE		Number and Street 2: 37TH FLOOR	
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10017

If this address is a private residence, check this box: ☐

Telephone Number: (888) 603-3903	Facsimile Number, if any:
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If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many *employees* perform investment advisory functions from this office location?
7

- Are other business activities conducted at this office location? (check all that apply)
- ☐ (1) Broker-dealer (registered or unregistered)
 - ☐ (2) Bank (including a separately identifiable department or division of a bank)
 - ☐ (3) Insurance broker or agent
 - ☐ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
 - ☐ (5) Registered municipal advisor
 - ☐ (6) Accountant or accounting firm
 - ☐ (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: <https://www.firstohioplanning.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.goldsteinmunger.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.furefinancial.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.knick.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.kadescheifetz.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.hcowealth.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform: <https://www.kanaly.com/> Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform:	https://www.thekielygroup.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.fullsailcapital.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.thompson-advisory.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.reneemcconnell.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/singer-burke/posts/?feedView=all
Address of Website/Account on Publicly Available Social Media Platform:	https://www.twitter.com/MercerAdvisors
Address of Website/Account on Publicly Available Social Media Platform:	https://www.frangofinancial.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.brightonfinancial.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.dragonfg.com/ Legacy URL- redirect to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/cfnplan/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/kingfisher-capital/about/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.myeaglewealth.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.epsteinandwhite.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.longrunwealth.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/lakepointadvisorygroup/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.youtube.com/@MercerAdvisors/channels
Address of Website/Account on Publicly Available Social Media Platform:	https://www.instagram.com/mercerv_advisors/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.merceradvisors.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.obrienwp.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.cfnplan.com/

Address of Website/Account on Publicly Available Social Media Platform:	https://www.lewiswm.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/mercera-advisors/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/epstein-white-retirement-income-solutions-llc
Address of Website/Account on Publicly Available Social Media Platform:	https://www.wealthguards.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.vintagefs.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/merceraadvisors/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.tuftoncapital.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.westoakcap.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.mallardfinancial.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.wealthguide.net/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.mdkpwm.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.mallardadvisors.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.mirskyfinancial.com/ Legacy URL-redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.pinnacle-investment.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.precipiowealth.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.pamgmt.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.rpgplanner.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/empyrionwealthmanagment/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.wrennfinancial.com/ Legacy URL-redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform:	https://www.milleradvisors.com/ - Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.linkedin.com/company/benemark-inc-/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/epsteinwhitemerceradvisors/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/rpgplanner/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/Kanaly-Trust-120746091315000
Address of Website/Account on Publicly Available Social Media Platform:	https://www.convergentwm.com/ Legacy URL - redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.wealthdesignservices.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.sandfordadvisory.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.singerburke.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.sighadvisory.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.sprucehillcap.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.starksfinancial.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.regisllc.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.regentwealth.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.riverglenwealth.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.rmradvisors.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.benchmarkwealthmgmt.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.chapelcollins.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.berkson.net/ Legacy URL- redirects to primary website

Address of Website/Account on Publicly Available Social Media Platform:	https://www.confluencefa.com/ Legacy URL- redirect to primary websites
Address of Website/Account on Publicly Available Social Media Platform:	https://www.lakepointadvisorygroup.com/ - Legacy URL redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.dsneal.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.financialpartnersgroupllc.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.dayandennis.com/ Legacy URL-redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.adamfinl.com/ - Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.afiwealthstrategies.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.stewardwealthmanagement.com/ Legacy URL-redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.andesafm.com/ - Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.atlantafinancial.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.transitionswm.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.tswealth.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.taaginc.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.waypointcaptialadvisors.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.kingfishercapital.com/ Legacy URL- redirects to primary website
Address of Website/Account on Publicly Available Social Media Platform:	https://www.vbfinancialgroup.com/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.poterack.net/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.facebook.com/lakepointadvisorygroup/
Address of Website/Account on Publicly Available Social Media Platform:	https://www.acgwealth.com/ - Legacy URL- redirects to primary website

SECTION 1.L. Location of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D, Section 1.L. for each location.

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 6440 DUTCHMANS PARKWAY		Number and Street 2: SUITE 201	
City: LOUISVILLE	State: Kentucky	Country: United States	ZIP+4/Postal Code: 40205

If this address is a private residence, check this box: ☐

Telephone Number: (502) 459-7199	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 201 SOUTH COLLEGE STREET		Number and Street 2: SUITE 2250	
City: CHARLOTTE	State: North Carolina	Country: United States	ZIP+4/Postal Code: 28244

If this address is a private residence, check this box: ☐

Telephone Number: (800) 338-1710	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 10 PIONEER AVE		Number and Street 2: OFFICE #13 (5A)	
City: JACKSON	State: Wyoming	Country: United States	ZIP+4/Postal Code: 83001

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 1801 CENTURY PARK EAST		Number and Street 2: SUITE 1901	
City: LOS ANGELES	State: California	Country: United States	ZIP+4/Postal Code: 90067

If this address is a private residence, check this box: ☐

Telephone Number: (424) 212-4949	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORES

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 100 THOUSAND OAKS BLVD.		Number and Street 2: SUITE 174	
City: THOUSAND OAKS	State: California	Country: United States	ZIP+4/Postal Code: 91360

If this address is a private residence, check this box: ☐

Telephone Number: (281) 938-4820	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 1028 S BRIDGEWAY PLACE		Number and Street 2: SUITE 120	
City: EAGLE	State: Idaho	Country: United States	ZIP+4/Postal Code: 83616

If this address is a private residence, check this box: ☐

Telephone Number:
(208) 722-2280

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
2373 OAK VALLEY DRIVE

City:
ANN ARBOR

State:
Michigan

Number and Street 2:
SUITE 110

Country:
United States

ZIP+4/Postal Code:
48103

If this address is a private residence, check this box: ☐

Telephone Number:
(734) 668-4040

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
100 W. MATSONFORD ROAD

City:
RADNOR

State:
Pennsylvania

Number and Street 2:
SUITE 4-200

Country:
United States

ZIP+4/Postal Code:
19087

If this address is a private residence, check this box: ☐

Telephone Number:
(610) 862-1090

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
10055 RED RUN BLVD.

Number and Street 2:
SUITES 160

City:

OWINGS MILLS

State:

Maryland

Country:

United States

ZIP+4/Postal Code:

21117

If this address is a private residence, check this box: ☐

Telephone Number:

(410) 384-6888

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

3940 PENINSULAR DRIVE

Number and Street 2:

SUITE 230

City:

GRAND RAPIDS

State:

Michigan

Country:

United States

ZIP+4/Postal Code:

49546

If this address is a private residence, check this box: ☐

Telephone Number:

(269) 488-6900

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

226 GAINSVILLE HIGHWAY

Number and Street 2:

SUITE F

City:

BLAIRSVILLE

State:

Georgia

Country:

United States

ZIP+4/Postal Code:

30512

If this address is a private residence, check this box: ☐

Telephone Number:

(706) 400-5763

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1: 7234 LANCASTER PIKE		Number and Street 2: SUITE 220A	
City: HOCKESSIN	State: Delaware	Country: United States	ZIP+4/Postal Code: 19707

If this address is a private residence, check this box: ☐

Telephone Number: (302) 239-1654	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 3430 E SUNRISE DRIVE		Number and Street 2: SUITE 180, OFFICE 16	
City: TUCSON	State: Arizona	Country: United States	ZIP+4/Postal Code: 85718

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 55 BARN ROAD		Number and Street 2: SUITE 206	
City: LAKE PLACID	State: New York	Country: United States	ZIP+4/Postal Code: 12946

If this address is a private residence, check this box: ☐

Telephone Number: (518) 523-8050	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 4830 WEST KENNEDY BLVD.		Number and Street 2: SUITE 600	
City: TAMPA	State: Florida	Country: United States	ZIP+4/Postal Code: 33609

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 35 NW HAWTHORNE AVENUE		Number and Street 2:	
City: BEND	State: Oregon	Country: United States	ZIP+4/Postal Code: 97703

If this address is a private residence, check this box: ☐

Telephone Number: (541) 330-0220	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 250 WEST BOBWHITE COURT		Number and Street 2: SUITE 340	
City: BOISE	State: Idaho	Country: United States	ZIP+4/Postal Code: 83706

If this address is a private residence, check this box: ☐

Telephone Number: 208-287-3725	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.

- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 3815 RIVER CROSSING PARKWAY		Number and Street 2: SUITE 100	
City: INDIANAPOLIS	State: Indiana	Country: United States	ZIP+4/Postal Code: 46240

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 901 S MOPAC EXPRESSWAY		Number and Street 2: BARTON OAKS PLAZA 2, SUITE 270	
City: AUSTIN	State: Texas	Country: United States	ZIP+4/Postal Code: 78746

If this address is a private residence, check this box: ☐

Telephone Number: (512) 347-9500	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 201 WEST NORTH RIVER DRIVE		Number and Street 2: SUITE 380	
City: SPOKANE	State: Washington	Country: United States	ZIP+4/Postal Code: 99201

If this address is a private residence, check this box: ☐

Telephone Number:
(509) 444-7007

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
5 NW MINNESOTA AVENUE

City:
BEND

State:
Oregon

Country:
United States

Number and Street 2:
SUITE 220

ZIP+4/Postal Code:
97703

If this address is a private residence, check this box: ☐

Telephone Number:
(541) 604-8603

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC. - OMAHA, NE BRANCH

Number and Street 1:
260 REGENCY PARKWAY DRIVE

City:
OMAHA

State:
Nebraska

Country:
United States

Number and Street 2:
SUITE 113

ZIP+4/Postal Code:
68114

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 355-2151

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
6901 PROFESSIONAL PARKWAY E

City:

State:

Country:

Number and Street 2:
SUITE 200, OFFICE 259

ZIP+4/Postal Code:

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
15 NORTH MAIN STREET

City:
WEST HARTFORD

State:
Connecticut

Number and Street 2:
SUITE 100

Country:
United States

ZIP+4/Postal Code:
06107

If this address is a private residence, check this box: ☐

Telephone Number:
(860) 651-1716

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1:
479 ROUTE 520

City:
MARLBORO

State:
New Jersey

Number and Street 2:
SUITE B20, OFFICE #252

Country:
United States

ZIP+4/Postal Code:
07746

If this address is a private residence, check this box: ☐

Telephone Number:
(281) 938-4820

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
310 WEST FRONT STREET

City:
TRAVERSE CITY

State:
Michigan

Country:
United States

Number and Street 2:
SUITE 308

ZIP+4/Postal Code:
49684

If this address is a private residence, check this box: ☐

Telephone Number:
(231) 929-4500

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
3010 SANTA FE COURT

City:
MISSOULA

State:
Montana

Country:
United States

Number and Street 2:
1ST FLOOR

ZIP+4/Postal Code:
59808

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
1053 W. REX ROAD

City:
MEMPHIS

State:
Tennessee

Country:
United States

Number and Street 2:
SUITE 102

ZIP+4/Postal Code:
38119

If this address is a private residence, check this box: ☐

Telephone Number:
(901) 216-1600

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
600 NORTH CLEVELAND AVENUE

Number and Street 2:
SUITE 140

City:
WESTERVILLE

State:
Ohio

Country:
United States

ZIP+4/Postal Code:
43082

If this address is a private residence, check this box: ☐

Telephone Number:
(800) 335-1404

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
327 PLAZA REAL BLVD

Number and Street 2:
SUITE 309

City:
BOCA RATON

State:
Florida

Country:
United States

ZIP+4/Postal Code:
33432

If this address is a private residence, check this box: ☐

Telephone Number:
(561) 417-0001

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
2095 SUMMER LEE DRIVE

Number and Street 2:
SUITE M200

City:
ROCKWALL

State:
Texas

Country:
United States

ZIP+4/Postal Code:
75032

If this address is a private residence, check this box: ☐

Telephone Number:
(214) 771-3363

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 9115 CORSEA DEL FONTANA WAY		Number and Street 2: SUITE 100	
City: NAPLES	State: Florida	Country: United States	ZIP+4/Postal Code: 34109

If this address is a private residence, check this box: ☐

Telephone Number: (239) 321-5001	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 300 RIDGEFIELD COURT		Number and Street 2: SUITE 309	
City: ASHEVILLE	State: North Carolina	Country: United States	ZIP+4/Postal Code: 28806

If this address is a private residence, check this box: ☐

Telephone Number: (828) 285-8777	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 131 4TH STREET EAST		Number and Street 2: SUITE 320	
City: KETCHUM	State: Idaho	Country: United States	ZIP+4/Postal Code: 83340

If this address is a private residence, check this box: ☐

Telephone Number: (877) 726-8858	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 39209 SIX MILE ROAD	Number and Street 2: SUITE 210		
City: LIVONIA	State: Michigan	Country: United States	ZIP+4/Postal Code: 48152

If this address is a private residence, check this box: ☐

Telephone Number: (800) 444-4143	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2600 SOUTH SHORE BOULEVARD	Number and Street 2: SUITE 300		
City: LEAGUE CITY	State: Texas	Country: United States	ZIP+4/Postal Code: 77573

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 122 SW FRANK PHILLIPS BOULEVARD	Number and Street 2: SUITE 111		
City: BARTLESVILLE	State: Oklahoma	Country: United States	ZIP+4/Postal Code: 74003

If this address is a private residence, check this box: ☐

Telephone Number:
(918) 956-0580

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1:
1 BRADLEY ROAD

City:
WOODBIDGE

State:
Connecticut

Number and Street 2:
SUITE 901 &902

Country:
United States

ZIP+4/Postal Code:
06525

If this address is a private residence, check this box: ☐

Telephone Number:
(203) 387-7887

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
227 NORTH LOOP 1604 EAST

City:
SAN ANTONIO

State:
Texas

Number and Street 2:
SUITE 150

Country:
United States

ZIP+4/Postal Code:
78232

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
303 INTERNATIONAL CIRCLE

City:
HUNT VALLEY

State:
Maryland

Number and Street 2:
SUITE 430

Country:
United States

ZIP+4/Postal Code:
21030

If this address is a private residence, check this box: ☐

Telephone Number:
888-565-1681

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
2201 DOTTIE LYNN PARKWAY

City:
FORT WORTH

State:
Texas

Number and Street 2:
SUITE 123

Country:
United States

ZIP+4/Postal Code:
76120

If this address is a private residence, check this box: ☐

Telephone Number:
888-885-8101

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
415 S MORGAN STREET

City:
GRANBURY

State:
Texas

Number and Street 2:

Country:
United States

ZIP+4/Postal Code:
76048

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 8 WRIGHT STREET		Number and Street 2: 1ST FLOOR	
City: WESTPORT	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06880

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 400 INTERLAKE DRIVE		Number and Street 2: SUITE 200	
City: MADISON	State: Wisconsin	Country: United States	ZIP+4/Postal Code: 53716

If this address is a private residence, check this box: ☐

Telephone Number: (608) 663-7676	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 8500 NORMANDALE LAKE BLVD		Number and Street 2: SUITE 950	
City: BLOOMINGTON	State: Minnesota	Country: United States	ZIP+4/Postal Code: 55437

If this address is a private residence, check this box: ☐

Telephone Number: (952) 944-8250	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2600 WESTOWN PARKWAY		Number and Street 2: SUITE 346	
City: WEST DES MOINES	State: Iowa	Country: United States	ZIP+4/Postal Code: 50266

If this address is a private residence, check this box: ☐

Telephone Number: (515) 422-9040	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 330 N WASBASH AVE		Number and Street 2: OFFICE 24-115	
City: CHICAGO	State: Illinois	Country: United States	ZIP+4/Postal Code: 60611

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 100 SUN AVENUE N.E.		Number and Street 2: SUITE 650	
City: ALBUQUERQUE	State: New Mexico	Country: United States	ZIP+4/Postal Code: 87109

If this address is a private residence, check this box: ☐

Telephone Number: (505) 298-4040	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1: 607 COMMONS DRIVE		Number and Street 2:	
City: GALLATIN	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37066

If this address is a private residence, check this box: ☐

Telephone Number: (615) 230-9700	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 19901 SOUTHWEST FREEWAY		Number and Street 2: SUITE 232	
City: SUGARLAND	State: Texas	Country: United States	ZIP+4/Postal Code: 77479

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2825 E COTTONWOOD PARKWAY		Number and Street 2: SUITE 500	
City: SALT LAKE CITY	State: Utah	Country: United States	ZIP+4/Postal Code: 84121

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.

CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
7130 GLEN FOREST DRIVE

City:
RICHMOND

State:
Virginia

Country:
United States

Number and Street 2:
SUITE 406

ZIP+4/Postal Code:
23226

If this address is a private residence, check this box:

☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.

CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
950 BANNOCK STREET

City:
BOISE

State:
Idaho

Country:
United States

Number and Street 2:
SUITE 1100

ZIP+4/Postal Code:
83702

If this address is a private residence, check this box:

☐

Telephone Number:
(208) 207-9231

Facsimile number, if any:

This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.

CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
9200 MONTGOMERY ROAD

Number and Street 2:

City:

CINCINNATI

State:

Ohio

Country:

United States

ZIP+4/Postal Code:

45242

If this address is a private residence, check this box: ☐

Telephone Number:

(513) 771-7222

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

1755 TELSTAR DRIVE

Number and Street 2:

3RD FLOOR

City:

COLORADO SPRINGS

State:

Colorado

Country:

United States

ZIP+4/Postal Code:

80920

If this address is a private residence, check this box: ☐

Telephone Number:

(888) 565-1681

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS.

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

100 TYLER SQUARE

Number and Street 2:

SUITE 6

City:

COVINGTON

State:

Louisiana

Country:

United States

ZIP+4/Postal Code:

70433

If this address is a private residence, check this box: ☐

Telephone Number:

(985) 246-5776

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 4545 42ND STREET N.W.		Number and Street 2: SUITE 314	
City: WASHINGTON	State: District of Columbia	Country: United States	ZIP+4/Postal Code: 20016

If this address is a private residence, check this box: ☐

Telephone Number: (202) 244-4460	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 412 SOUTH 14TH STREET		Number and Street 2:	
City: PONCA CITY	State: Oklahoma	Country: United States	ZIP+4/Postal Code: 74601

If this address is a private residence, check this box: ☐

Telephone Number: (580) 762-1121	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 680 E. COLORADO BLVD		Number and Street 2: SUITE 180	
City: PASADENA	State: California	Country: United States	ZIP+4/Postal Code: 91101

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 125 PLANTATION CENTER DRIVE SOUTH		Number and Street 2: SUITE 400B	
City: MACON	State: Georgia	Country: United States	ZIP+4/Postal Code: 31210

If this address is a private residence, check this box: ☐

Telephone Number: (478) 474-7480	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 1837 E. MAIN STREET		Number and Street 2:	
City: ONALASKA	State: Wisconsin	Country: United States	ZIP+4/Postal Code: 54650

If this address is a private residence, check this box: ☐

Telephone Number: (608) 779-0300	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 412 MAIN STREET		Number and Street 2: SUITE 200	
City: FRANKLIN	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37064

If this address is a private residence, check this box: ☐

Telephone Number: (615) 771-1012	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.

- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2985 VOYAGER DRIVE		Number and Street 2:	
City: GREEN BAY	State: Wisconsin	Country: United States	ZIP+4/Postal Code: 54311

If this address is a private residence, check this box: ☐

Telephone Number: (920) 496-7926	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 26 MAIN STREET		Number and Street 2:	
City: COLLEYVILLE	State: Texas	Country: United States	ZIP+4/Postal Code: 76034

If this address is a private residence, check this box: ☐

Telephone Number: (817) 428-1145	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 5470 KIETZKE LANE		Number and Street 2: SUITE 300	
City: RENO	State: Nevada	Country: United States	ZIP+4/Postal Code: 89511

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
200 BROADHOLLOW ROAD

City:
MELVILLE

State:
New York

Country:
United States

Number and Street 2:
SUITE 207, OFFICE 151

ZIP+4/Postal Code:
11747

If this address is a private residence, check this box: ☐

Telephone Number:
(516) 447-2899

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
115 DR. MARTIN LUTHER KING JR DRIVE

City:
STARKVILLE

State:
Mississippi

Country:
United States

Number and Street 2:

ZIP+4/Postal Code:
39759

If this address is a private residence, check this box: ☐

Telephone Number:
(800) 810-1091

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
555 WINDERLEY PLACE

City:

State:

Country:

Number and Street 2:
SUITE 300

ZIP+4/Postal Code:

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
1250 IRONWOOD DRIVE

City:
COEUR D'ALENE

State:
Idaho

Number and Street 2:
SUITE 110

Country:
United States

ZIP+4/Postal Code:
83814

If this address is a private residence, check this box: ☐

Telephone Number:
(509) 444-7007

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
610 MAIN STREET

City:
FRISCO

State:
Colorado

Number and Street 2:
SUITE 15

Country:
United States

ZIP+4/Postal Code:
80443

If this address is a private residence, check this box: ☐

Telephone Number:
(970) 468-8880

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
2033 GATEWAY PLACE

City:
SAN JOSE

State:
California

Number and Street 2:
5TH FLOOR

Country:
United States

ZIP+4/Postal Code:
95110

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
6860 NORTH DALLAS PARKWAY

City:
PLANO

State:
Texas

Number and Street 2:
SUITE 200

Country:
United States

ZIP+4/Postal Code:
75024

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 920-1320

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
3741 DOUGLAS BOULEVARD

City:
ROSEVILLE

State:
California

Number and Street 2:
SUITE 130

Country:
United States

ZIP+4/Postal Code:
95661

If this address is a private residence, check this box: ☐

Telephone Number:
(916) 786-7626

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 375 WOODCLIFF DRIVE		Number and Street 2: SUITE 1C	
City: FAIRPORT	State: New York	Country: United States	ZIP+4/Postal Code: 14450

If this address is a private residence, check this box: ☐

Telephone Number: (585) 442-3230	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 9595 SIX PINES DRIVE		Number and Street 2: BUILDING 8, LEVEL 2, SUITE 8210	
City: THE WOODLANDS	State: Texas	Country: United States	ZIP+4/Postal Code: 77380

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 3292 EAGLE VIEW LANE		Number and Street 2: SUITE 290	
City: LEXINGTON	State: Kentucky	Country: United States	ZIP+4/Postal Code: 40509

If this address is a private residence, check this box: ☐

Telephone Number: (859) 254-3036	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2008 STEPHENSON AVENUE SW		Number and Street 2:	
City: ROANOKE	State: Virginia	Country: United States	ZIP+4/Postal Code: 24014

If this address is a private residence, check this box: ☐

Telephone Number: (540) 204-9310	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 873 SANTA CRUZ AVENUE		Number and Street 2: SUITE 206	
City: MENLO PARK	State: California	Country: United States	ZIP+4/Postal Code: 94025

If this address is a private residence, check this box: ☐

Telephone Number: (650) 838-1030	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 83 HALLS ROAD		Number and Street 2: UNIT 201	
City: OLD LYME	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06371

If this address is a private residence, check this box: ☐

Telephone Number: (860) 434-6890	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 99 DERBY STREET		Number and Street 2: SUITE 200	
City: HINGHAM	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 02043

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 301 GRANT STREET		Number and Street 2: SUITE 270	
City: PITTSBURGH	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 15219

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 3339 SE DIVISION STREET		Number and Street 2: SUITE 201	
City: PORTLAND	State: Oregon	Country: United States	ZIP+4/Postal Code: 97202

If this address is a private residence, check this box: ☐

Telephone Number:
(503) 234-0721

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1:
2202 NORTH WEST SHORE BLVD

City:
TAMPA

State:
Florida

Country:
United States

Number and Street 2:
SUITE 200

ZIP+4/Postal Code:
33607

If this address is a private residence, check this box: ☐

Telephone Number:
(888) 885-8101

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
224 CANYON AVENUE

City:
FORT COLLINS

State:
Colorado

Country:
United States

Number and Street 2:
UNIT #110

ZIP+4/Postal Code:
80521

If this address is a private residence, check this box: ☐

Telephone Number:
(970) 204-1376

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERER GLOBAL ADVISORS INC.

Number and Street 1: 800 STATE HIGHWAY 248		Number and Street 2: UNIT 5, BUILDING 1	
City: BRANSON	State: Missouri	Country: United States	ZIP+4/Postal Code: 65616
If this address is a private residence, check this box: ☐			
Telephone Number: (417) 882-7283		Facsimile number, if any:	
This is (check one): ☒ one of your branch offices or affiliates. ☐ a third-party unaffiliated recordkeeper. ☐ other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			

Name of entity where books and records are kept: MERCER GLOBAL ADVISORS INC.			
Number and Street 1: 923 HADDONFIELD ROAD		Number and Street 2: SUITE 300	
City: CHERRY HILL	State: New Jersey	Country: United States	ZIP+4/Postal Code: 08002
If this address is a private residence, check this box: ☐			
Telephone Number: (215) 558-3500		Facsimile number, if any:	
This is (check one): ☒ one of your branch offices or affiliates. ☐ a third-party unaffiliated recordkeeper. ☐ other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			

Name of entity where books and records are kept: MERCER GLOBAL ADVISORS INC.			
Number and Street 1: 121 SW MORRISON STREET		Number and Street 2: SUITE 910	
City: PORTLAND	State: Oregon	Country: United States	ZIP+4/Postal Code: 97204
If this address is a private residence, check this box: ☐			
Telephone Number: (503) 419-3938		Facsimile number, if any:	
This is (check one): ☒ one of your branch offices or affiliates. ☐ a third-party unaffiliated recordkeeper. ☐ other.			
Briefly describe the books and records kept at this location. CLIENT RECORDS			

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 16150 MAIN CIRCLE DRIVE		Number and Street 2: SUITE 115	
City: CHESTERFIELD	State: Missouri	Country: United States	ZIP+4/Postal Code: 63017

If this address is a private residence, check this box: ☐

Telephone Number: (636) 536-1800	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 1 TARA BOULEVARD		Number and Street 2: SUITE 200	
City: NASHUA	State: New Hampshire	Country: United States	ZIP+4/Postal Code: 03062

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC

Number and Street 1: 74785 HIGHWAY 111		Number and Street 2: SUITE 202	
City: INDIAN WELLS	State: California	Country: United States	ZIP+4/Postal Code: 92210

If this address is a private residence, check this box: ☐

Telephone Number: (760) 836-1126	Facsimile number, if any:
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This is (check one):

☒ one of your branch offices or affiliates.

☐ a third-party unaffiliated recordkeeper.

☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 5251 WEST 116TH PLACE		Number and Street 2: SUITE 200	
City: LEAWOOD	State: Kansas	Country: United States	ZIP+4/Postal Code: 66211

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 100 WEST BIG BEAVER ROAD		Number and Street 2: SUITE 200	
City: TROY	State: Michigan	Country: United States	ZIP+4/Postal Code: 48084

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 4905 HAMILTON BLVD		Number and Street 2:	
City: LOWER MACUNGIE TOWNSHIP	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 18106

If this address is a private residence, check this box: ☐

Telephone Number: (610) 841-5367	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 33 LONO AVENUE	Number and Street 2: SUITE 390		
City: KAHULUI	State: Hawaii	Country: United States	ZIP+4/Postal Code: 96732

If this address is a private residence, check this box: ☐

Telephone Number: (808) 871-1006	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 9 CENTENNIAL DRIVE	Number and Street 2: 2ND FLOOR		
City: PEABODY	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 01960

If this address is a private residence, check this box: ☐

Telephone Number: (888) 565-1681	Facsimile number, if any:
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- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 313 FIFTH STREET	Number and Street 2: SUITE 101		
City: AMES	State: Iowa	Country: United States	ZIP+4/Postal Code: 50010

If this address is a private residence, check this box: ☐

Telephone Number:
(515) 233-0307

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
12909 SOUTH WEST 68TH PARKWAY

City:
TIGARD

State:
Oregon

Number and Street 2:
SUITE 230

Country:
United States

ZIP+4/Postal Code:
97223

If this address is a private residence, check this box: ☐

Telephone Number:
(503) 597-2222

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
8352 SIX FORKS ROAD

City:
RALEIGH

State:
North Carolina

Number and Street 2:
SUITE 201

Country:
United States

ZIP+4/Postal Code:
27615

If this address is a private residence, check this box: ☐

Telephone Number:
(919) 848-9999

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:
200 SOUTH EXECUTIVE DRIVE

Number and Street 2:
SUITE 101, OFFICE 2047

City:

BROOKFIELD

State:

Wisconsin

Country:

United States

ZIP+4/Postal Code:

53005

If this address is a private residence, check this box: ☐

Telephone Number:

(281) 938-4820

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

707 SKOKIE BOULEVARD

Number and Street 2:

SUITE 600, OFFICE 637

City:

Northbrook

State:

Illinois

Country:

United States

ZIP+4/Postal Code:

60062

If this address is a private residence, check this box: ☐

Telephone Number:

(888) 885-8101

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1:

2 INTERNATIONAL DRIVE

Number and Street 2:

SUITE 325

City:

Portsmouth

State:

New Hampshire

Country:

United States

ZIP+4/Postal Code:

03801

If this address is a private residence, check this box: ☐

Telephone Number:

(800)656-5720

Facsimile number, if any:

- This is (check one):
- ☒ one of your branch offices or affiliates.
 - ☐ a third-party unaffiliated recordkeeper.
 - ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 62 PORTLAND RD		Number and Street 2: SUITE 37	
City: KENNEBUNK	State: Maine	Country: United States	ZIP+4/Postal Code: 04043

If this address is a private residence, check this box: ☐

Telephone Number: (207)895-5000	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 2530 MERIDIAN PARKWAY		Number and Street 2: SUITE 300, OFFICE 4020	
City: DURHAM	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27713

If this address is a private residence, check this box: ☐

Telephone Number: (281)938-4820	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 701 PALOMAR AIRPORT ROAD		Number and Street 2: SUITE 300, OFFICE 13	
City: CARLSBAD	State: California	Country: United States	ZIP+4/Postal Code: 92011

If this address is a private residence, check this box: ☐

Telephone Number: (888) 885-8101	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 4010 WEST BOY SCOUT BOULEVARD		Number and Street 2: SUITE 355	
City: TAMPA	State: Florida	Country: United States	ZIP+4/Postal Code: 33607

If this address is a private residence, check this box: ☐

Telephone Number: (291) 938-4820	Facsimile number, if any:
-------------------------------------	---------------------------

This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 3900 N CAUSEWAY BLVD.		Number and Street 2: SUITE 1200, OFFICE 1266	
City: METAIRIE	State: Louisiana	Country: United States	ZIP+4/Postal Code: 70002

If this address is a private residence, check this box: ☐

Telephone Number: (888) 920-1320	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.
- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

Name of entity where books and records are kept:
MERCER GLOBAL ADVISORS INC.

Number and Street 1: 250 CAMINO ALTO		Number and Street 2: SUITE 200	
City: MILL VALLEY	State: California	Country: United States	ZIP+4/Postal Code: 94941

If this address is a private residence, check this box: ☐

Telephone Number: (877) 847-6667	Facsimile number, if any:
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This is (check one):

- ☒ one of your branch offices or affiliates.

- ☐ a third-party unaffiliated recordkeeper.
- ☐ other.

Briefly describe the books and records kept at this location.
CLIENT RECORDS

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

Item 2 SEC Registration / Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

- A. To register (or remain registered) with the SEC, you must check **at least one** of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an *annual updating amendment* to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). [Part 1A Instruction 2](#) provides information to help you determine whether you may affirmatively respond to each of these items.
- You (the adviser):
- ☒ (1) are a **large advisory firm** that either:

(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or

(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- ☐ (2) are a **mid-sized advisory firm** that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:

(a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or

(b) not subject to examination by the *state securities authority* of the state where you maintain your *principal office and place of business*;

Click [HERE](#) for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
- ☐ (3) Reserved
- ☐ (4) have your *principal office and place of business* **outside the United States**;
- ☐ (5) are an **investment adviser (or subadviser) to an investment company** registered under the Investment Company Act of 1940;
- ☐ (6) are an **investment adviser to a company which has elected to be a business development company** pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
- ☐ (7) are a **pension consultant** with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
- ☐ (8) are a **related adviser** under rule 203A-2(b) that *controls*, is *controlled* by, or is under common *control* with, an investment adviser that is registered with the SEC, and your *principal office and place of business* is the same as the registered adviser;

If you check this box, complete [Section 2.A.\(8\) of Schedule D](#).
- ☐ (9) are an **adviser** relying on rule 203A-2(c) because you **expect to be eligible for SEC registration within 120 days**;

If you check this box, complete [Section 2.A.\(9\) of Schedule D](#).
- ☐ (10) are a **multi-state adviser** that is required to register in 15 or more states and is relying on rule 203A-2(d);

If you check this box, complete [Section 2.A.\(10\) of Schedule D](#).
- ☐ (11) are an **Internet adviser** relying on rule 203A-2(e);

If you check this box, complete [Section 2.A.\(11\) of Schedule D](#).
- ☐ (12) have **received an SEC order** exempting you from the prohibition against registration with the SEC;

If you check this box, complete [Section 2.A.\(12\) of Schedule D](#).
- ☐ (13) are **no longer eligible** to remain registered with the SEC.

State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers

- C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an

amendment to your registration to stop your *notice filings* or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s).

Jurisdictions

☒ AL	☒ IL	☒ NE	☒ SC
☒ AK	☒ IN	☒ NV	☒ SD
☒ AZ	☒ IA	☒ NH	☒ TN
☒ AR	☒ KS	☒ NJ	☒ TX
☒ CA	☒ KY	☒ NM	☒ UT
☒ CO	☒ LA	☒ NY	☒ VT
☒ CT	☒ ME	☒ NC	☒ VI
☒ DE	☒ MD	☒ ND	☒ VA
☒ DC	☒ MA	☒ OH	☒ WA
☒ FL	☒ MI	☒ OK	☒ WV
☒ GA	☒ MN	☒ OR	☒ WI
☐ GU	☒ MS	☒ PA	☒ WY
☒ HI	☒ MO	☒ PR	
☒ ID	☒ MT	☒ RI	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

SECTION 2.A.(8) Related Adviser

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SEC Number of Registered Investment Adviser

-

SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days

If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

☐ I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.

☐ I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

SECTION 2.A.(10) Multi-State Adviser

If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

☐ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.

☐ I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

☐ Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

SECTION 2.A.(11) Internet Adviser

If you are relying on rule 203A-2(e), the Internet adviser exemption from the prohibition on registration, you are required to make a representation about your eligibility for SEC registration. By checking the appropriate box, you will be deemed to have made the required representation.

☐ I have provided and will continue to provide investment advice on an ongoing basis to more than one client exclusively through an *operational interactive website*.

Date of order:

No Information Filed

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. [Part 1A Instruction 5.a.](#) provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.
1429
- B.

(1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
632

(2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?
5

(3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
667

(4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
37

(5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
27

(6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?
9

In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.
- Clients
- In your responses to Items 5.C. and 5.D. do not include as "*clients*" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.
- C.

(1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?
87

(2) Approximately what percentage of your *clients* are non-*United States persons*?
0%

D. For purposes of this Item 5.D., the category "*individuals*" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.
The category "*business development companies*" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of <i>Client(s)</i>	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than <i>high net worth individuals</i>)	16646	☐	\$ 7,082,833,358
(b) <i>High net worth individuals</i>	18398	☐	\$ 70,513,655,736
(c) Banking or thrift institutions	0	☐	\$ 0
(d) Investment companies	0		\$ 0
(e) Business development companies	0		\$ 0

(f) Pooled investment vehicles (other than investment companies and business development companies)	0		\$ 0
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)	718	☐	\$ 3,451,428,018
(h) Charitable organizations	166	☐	\$ 1,998,280,571
(i) State or municipal <i>government entities</i> (including government pension plans)	0	☐	\$ 0
(j) Other investment advisers	0	☐	\$ 0
(k) Insurance companies	0	☐	\$ 0
(l) Sovereign wealth funds and foreign official institutions	0	☐	\$ 0
(m) Corporations or other businesses not listed above	244	☐	\$ 1,350,694,907
(n) Other:	0	☐	\$ 0

Compensation Arrangements

E. You are compensated for your investment advisory services by (check all that apply):

- ☒ (1) A percentage of assets under your management
- ☒ (2) Hourly charges
- ☐ (3) Subscription fees (for a newsletter or periodical)
- ☒ (4) Fixed fees (other than subscription fees)
- ☐ (5) Commissions
- ☐ (6) *Performance-based fees*
- ☒ (7) Other (specify): CONSULTING FEES

Item 5 Information About Your Advisory Business - Regulatory Assets Under Management

Regulatory Assets Under Management

Yes No

F. (1) Do you provide continuous and regular supervisory or management services to securities portfolios?

☒ ☐

(2) If yes, what is the amount of your regulatory assets under management and total number of accounts?

	U.S. Dollar Amount	Total Number of Accounts
Discretionary:	(a) \$ 82,916,243,015	(d) 115,337
Non-Discretionary:	(b) \$ 1,480,649,575	(e) 973
Total:	(c) \$ 84,396,892,590	(f) 116,310

Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. You must follow these instructions carefully when completing this Item.

(3) What is the approximate amount of your total regulatory assets under management (reported in Item 5.F.(2)(c) above) attributable to *clients* who are non-*United States persons*?
\$ 158,247,343

Item 5 Information About Your Advisory Business - Advisory Activities

Advisory Activities

G. What type(s) of advisory services do you provide? Check all that apply.

- ☒ (1) Financial planning services
- ☒ (2) Portfolio management for individuals and/or small businesses
- ☐ (3) Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to section 54 of the Investment Company Act of 1940)
- ☐ (4) Portfolio management for pooled investment vehicles (other than investment companies)
- ☒ (5) Portfolio management for businesses (other than small businesses) or institutional *clients* (other than registered investment companies and other pooled investment vehicles)
- ☒ (6) Pension consulting services
- ☒ (7) Selection of other advisers (including *private fund* managers)
- ☒ (8) Publication of periodicals or newsletters
- ☐ (9) Security ratings or pricing services
- ☐ (10) Market timing services
- ☒ (11) Educational seminars/workshops
- ☒ (12) Other(specify): TRUST SERVICES, ESTATE PLANNING, CONSULTATION

Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G.(3) of Schedule D.

H. If you provide financial planning services, to how many *clients* did you provide these services during your last fiscal year?

☐ 0

- ☐ 1 - 10
☐ 11 - 25
☐ 26 - 50
☐ 51 - 100
☐ 101 - 250
☐ 251 - 500
☒ More than 500

If more than 500, how many?

27,443 (round to the nearest 500)

In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

Yes No

I. (1) Do you participate in a *wrap fee program*?

(2) If you participate in a *wrap fee program*, what is the amount of your regulatory assets under management attributable to acting as:

(a) *sponsor to a wrap fee program*

\$

(b) portfolio manager for a *wrap fee program*?

\$

(c) *sponsor* to and portfolio manager for the same *wrap fee program*?

\$

If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).

If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in [Section 5.1.\(2\) of Schedule D](#).

If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).

Yes No

J. (1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?



(2) Do you report *client* assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?

K. Separately Managed Account *Clients*

Yes No

(1) Do you have regulatory assets under management attributable to *clients* other than those listed in Item 5.D.(3)(d)-(f) (separately managed account *clients*)?



If yes, complete Section 5.K.(1) of Schedule D.

(2) Do you engage in borrowing transactions on behalf of any of the separately managed account *clients* that you advise?

If yes, complete Section 5.K.(2) of Schedule D.

(3) Do you engage in derivative transactions on behalf of any of the separately managed account *clients* that you advise?

☒ ☐

If yes, complete **Section 5.K.(2)** of Schedule D.

(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?

If yes, complete Section 5.K.(3) of Schedule D for each custodian.

L. Marketing Activities

Yes No

(1) Do any of your *advertisements* include:

(a) Performance results?

(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?

☐ ☒

(c) *Testimonials* (other than those that satisfy rule 206(4)-1(b)(4)(ii))?

☒ ☐

(d) *Endorsements* (other than those that satisfy rule 206(4)-1(b)(4)(ii))?

• •

(e) *Third-party ratings?*

(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of *testimonials*, *endorsements*, or *third-party ratings*?

(3) Do any of your *advertisements* include *hypothetical performance* ?

(4) Do any of your *advertisements* include *predecessor performance* ?

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

SECTION 5.I.(2) *Wrap Fee Programs*

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment* . Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

(a)

Asset Type	Mid-year	End of year
(i) Exchange-Traded Equity Securities	19 %	20 %
(ii) Non Exchange-Traded Equity Securities	0 %	0 %
(iii) U.S. Government/Agency Bonds	1 %	1 %
(iv) U.S. State and Local Bonds	2 %	2 %
(v) <i>Sovereign Bonds</i>	0 %	1 %
(vi) Investment Grade Corporate Bonds	1 %	1 %
(vii) Non-Investment Grade Corporate Bonds	0 %	0 %
(viii) Derivatives	0 %	0 %
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	65 %	65 %
(x) Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	3 %	3 %
(xi) Cash and Cash Equivalents	6 %	5 %
(xii) Other	2 %	2 %

Generally describe any assets included in "Other"
UNIQUE ASSETS AND CERTAIN ALTERNATIVE ASSETS

(b)

Asset Type	End of year
(i) Exchange-Traded Equity Securities	%
(ii) Non Exchange-Traded Equity Securities	%

(iii) U.S. Government/Agency Bonds	%
(iv) U.S. State and Local Bonds	%
(v) Sovereign Bonds	%
(vi) Investment Grade Corporate Bonds	%
(vii) Non-Investment Grade Corporate Bonds	%
(viii) Derivatives	%
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	%
(x) Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi) Cash and Cash Equivalents	%
(xii) Other	%

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

☐ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10 %	\$ 34,347,842	\$	%	%	%	100 %	%	%
10-149 %	\$	\$	%	%	%	%	%	%
150 % or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.
MERCER GLOBAL ADVISORS EMPLOYS COVERED CALL AND PUT WRITING AND OTHER OPTION STRATEGIES.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10 %	\$ 11,108,984	\$ 0	%	%	%	100 %	%	%
10-149 %	\$ 54,989,301	\$ 0	%	%	%	100 %	%	%

150 % or more	\$ 55,785,092	\$ 0	%	%	%	100 %	%	%
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Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

MERCER GLOBAL ADVISORS EMPLOYS COVERED CALL AND PUT WRITING AND OTHER OPTION STRATEGIES.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10 %	\$	\$
10-149 %	\$	\$
150 % or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SECTION 5.K.(3) Custodians for Separately Managed Accounts

Complete a separate Schedule D Section 5.K.(3) for each custodian that holds ten percent or more of your aggregate separately managed account regulatory assets under management.

(a)

Legal name of custodian:
CHARLES SCHWAB & CO., INC.

(b)

Primary business name of custodian:
CHARLES SCHWAB & CO., INC.

(c)

The location(s) of the custodian's office(s) responsible for *custody* of the assets :

City:

State:

Country:

WESTLAKE

Texas

United States

(d)

Is the custodian a *related person* of your firm?

Yes

No

(e)

If the custodian is a broker-dealer, provide its SEC registration number (if any)
8 - 16514

(f)

If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

(g)

What amount of your regulatory assets under management attributable to separately managed accounts is held at the custodian?
\$ 57,744,058,586

(a)

Legal name of custodian:
FIDELITY BROKERAGE SERVICES LLC

(b)

Primary business name of custodian:
FIDELITY BROKERAGE SERVICES LLC

(c)

The location(s) of the custodian's office(s) responsible for *custody* of the assets :

City:

State:

Country:

SAN FRANCISCO

California

United States

(d)

Is the custodian a *related person* of your firm?

Yes

No

(e)	If the custodian is a broker-dealer, provide its SEC registration number (if any) 8 - 23292
(f)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)
(g)	What amount of your regulatory assets under management attributable to separately managed accounts is held at the custodian? \$ 20,870,202,237

Item 6 Other Business Activities		
In this Item, we request information about your firm's other business activities.		
A. You are actively engaged in business as a (check all that apply):		
☐	(1)	broker-dealer (registered or unregistered)
☐	(2)	registered representative of a broker-dealer
☐	(3)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
☐	(4)	futures commission merchant
☐	(5)	real estate broker, dealer, or agent
☐	(6)	insurance broker or agent
☐	(7)	bank (including a separately identifiable department or division of a bank)
☐	(8)	trust company
☐	(9)	registered municipal advisor
☐	(10)	registered security-based swap dealer
☐	(11)	major security-based swap participant
☐	(12)	accountant or accounting firm
☐	(13)	lawyer or law firm
☐	(14)	other financial product salesperson (specify):
If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D .		
		Yes No
B.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?
	(2)	If yes, is this other business your primary business?
If "yes," describe this other business on Section 6.B.(2) of Schedule D , and if you engage in this business under a different name, provide that name.		
		Yes No
	(3)	Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?
If "yes," describe this other business on Section 6.B.(3) of Schedule D , and if you engage in this business under a different name, provide that name.		

SECTION 6.A. Names of Your Other Businesses
No Information Filed

SECTION 6.B.(2) Description of Primary Business
Describe your primary business (not your investment advisory business):
If you engage in that business under a different name, provide that name:

SECTION 6.B.(3) Description of Other Products and Services
Describe other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above. 1. PENSION SERVICES 2. TRUST ADMINISTRATIONSERVICES 3. ESTATE PLANNING 4. FINANCIAL CONSULTING
If you engage in that business under a different name, provide that name:

Item 7 Financial Industry Affiliations
In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your <i>clients</i> .
A. This part of Item 7 requires you to provide information about you and your <i>related persons</i> , including foreign affiliates. Your <i>related persons</i> are all of your <i>advisory affiliates</i> and any <i>person</i> that is under common <i>control</i> with you. You have a <i>related person</i> that is a (check all that apply):
☒ (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
☒ (2) other investment adviser (including financial planners)
☐ (3) registered municipal advisor

- ☐

(4)

registered security-based swap dealer
- ☐

(5)

major security-based swap participant
- ☐

(6)

commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- ☐

(7)

futures commission merchant
- ☐

(8)

banking or thrift institution
- ☐

(9)

trust company
- ☐

(10)

accountant or accounting firm
- ☐

(11)

lawyer or law firm
- ☒

(12)

insurance company or agency
- ☐

(13)

pension consultant
- ☐

(14)

real estate broker or dealer
- ☐

(15)

sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- ☒

(16)

sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete [Section 7.A. of Schedule D](#).

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete [Section 7.A. of Schedule D](#) for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each *related person* listed in Item 7.A.

1.

Legal Name of *Related Person*:

MERCER ADVISORS INSURANCE SERVICES, LLC
2.

Primary Business Name of *Related Person*:

MERCER ADVISORS INSURANCE SERVICES
3.

Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)

-

or

Other
4.

Related Person's

(a)

CRD Number (if any):

(b)

CIK Number(s) (if any):

No Information Filed
5.

Related Person is: (check all that apply)

(a)

☐

broker-dealer, municipal securities dealer, or government securities broker or dealer

(b)

☐

other investment adviser (including financial planners)

(c)

☐

registered municipal advisor

(d)

☐

registered security-based swap dealer

(e)

☐

major security-based swap participant

(f)

☐

commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

(g)

☐

futures commission merchant

(h)

☐

banking or thrift institution

(i)

☐

trust company

(j)

☐

accountant or accounting firm

(k)

☐

lawyer or law firm

(l)

☒

insurance company or agency

(m)

☐

pension consultant

(n)

☐

real estate broker or dealer

(o)

☐

sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles

City:

State:

Country:

ZIP+ 4/Postal Code:

If this address is a private residence, check this box: ☐

YesNo

9.

(a)

If the *related person* is an investment adviser, is it exempt from registration?

(b)

If the answer is yes, under what exemption?

10.

(a)

Is the *related person* registered with a *foreign financial regulatory authority* ?

(b)

If the answer is yes, list the name and country, in English of each *foreign financial regulatory authority* with which the *related person* is registered.

No Information Filed

11.

Do you and the *related person* share any *supervised persons*?

12.

Do you and the *related person* share the same physical location?

1.

Legal Name of *Related Person*:

MERCER ADVISORS PRIVATE ASSET MANAGEMENT, INC.

2.

Primary Business Name of *Related Person*:

MERCER ADVISORS PRIVATE ASSET MANAGEMENT, INC.

3.

Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)

801 - 129203

or

Other

4.

Related Person's

(a)

CRD Number (if any):

327830

(b)

CIK Number(s) (if any):

No Information Filed

5.

Related Person is: (check all that apply)

(a)

☐

broker-dealer, municipal securities dealer, or government securities broker or dealer

(b)

☒

other investment adviser (including financial planners)

(c)

☐

registered municipal advisor

(d)

☐

registered security-based swap dealer

(e)

☐

major security-based swap participant

(f)

☐

commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

(g)

☐

futures commission merchant

(h)

☐

banking or thrift institution

(i)

☐

trust company

(j)

☐

accountant or accounting firm

(k)

☐

lawyer or law firm

(l)

☐

insurance company or agency

(m)

☐

pension consultant

(n)

☐

real estate broker or dealer

(o)

☐

sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles

(p)

☐

sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

6.

Do you *control* or are you *controlled* by the *related person*?

7.

Are you and the *related person* under common *control*?

8.

(a)

Does the *related person* act as a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(b)

If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the *related person* and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person*?

(c)

If you have answered "yes" to question 8.(a) above, provide the location of the *related person's* office responsible for *custody* of your *clients'* assets:

Number and Street 1:

Number and Street 2:

City:

State:

Country:

ZIP+ 4/Postal Code:

If this address is a private residence, check this box: ☐

9.

(a)

If the *related person* is an investment adviser, is it exempt from registration?

(b)

If the answer is yes, under what exemption?

10. (a)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	☐	☒
(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	No Information Filed	
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	☒	☐
12.	Do you and the <i>related person</i> share the same physical location?	☒	☐

Item 7 *Private Fund* Reporting

	Yes	No
B. Are you an adviser to any <i>private fund</i> ?	☐	☒
If "yes," then for each <i>private fund</i> that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that <i>private fund</i>. You must, instead, complete Section 7.B.(2) of Schedule D. In either case, if you seek to preserve the anonymity of a <i>private fund client</i> by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the <i>private fund</i> in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.		

SECTION 7.B.(1) *Private Fund* Reporting

No Information Filed

SECTION 7.B.(2) *Private Fund* Reporting

No Information Filed

Item 8 Participation or Interest in *Client* Transactions

In this Item, we request information about your participation and interest in your *clients'* transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like Item 7, Item 8 requires you to provide information about you and your *related persons*, including foreign affiliates.

Proprietary Interest in *Client* Transactions

A.	Do you or any <i>related person</i> :	Yes	No
(1)	buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	☐	☒
(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory <i>clients</i> ?	☒	☐
(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	☒	☐

Sales Interest in *Client* Transactions

B.	Do you or any <i>related person</i> :	Yes	No
(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	☐	☒
(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	☒	☐
(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	☒	☐

Investment or Brokerage Discretion

C.	Do you or any <i>related person</i> have <i>discretionary authority</i> to determine the:	Yes	No
(1)	securities to be bought or sold for a <i>client's</i> account?	☒	☐
(2)	amount of securities to be bought or sold for a <i>client's</i> account?	☒	☐
(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	☒	☐
(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	☒	☐

D.

If you answer "yes" to C.(3) above, are any of the brokers or dealers *related persons*?

E.

Do you or any *related person* recommend brokers or dealers to *clients*?

F.

If you answer "yes" to E. above, are any of the brokers or dealers *related persons*?

G.

(1) Do you or any *related person* receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with *client* securities transactions?

(2) If "yes" to G.(1) above, are all the "soft dollar benefits" you or any *related persons* receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?

H.

(1) Do you or any *related person*, directly or indirectly, compensate any *person* that is not an *employee* for *client* referrals?

(2) Do you or any *related person*, directly or indirectly, provide any *employee* compensation that is specifically related to obtaining *clients* for the firm (cash or non-cash compensation in addition to the *employee's* regular salary)?

I.

Do you or any *related person*, including any *employee*, directly or indirectly, receive compensation from any *person* (other than you or any *related person*) for *client* referrals?

In your response to Item 8.I., do not include the regular salary you pay to an employee.

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

A.

(1) Do you have *custody* of any advisory *clients'*:

(a) cash or bank accounts?

(b) securities?

Yes

No

If you are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.

(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which you have *custody*:

U.S. Dollar Amount

Total Number of *Clients*

(a) \$ 24,142,126,904

(b) 25,635

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).

B.

(1) In connection with advisory services you provide to *clients*, do any of your *related persons* have *custody* of any of your advisory *clients'*:

(a) cash or bank accounts?

(b) securities?

Yes

No

You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which your *related persons* have *custody*:

U.S. Dollar Amount

Total Number of *Clients*

(a) \$ 111,248,989

(b) 53

C.

Do you or your *related persons* have *custody* of *client* funds or securities in connection with advisory services you provide to *clients*, check all the following that apply:

(1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.

(2) An *independent public accountant* audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.

(3) An *independent public accountant* conducts an annual surprise examination of *client* funds and securities.

(4) An *independent public accountant* prepares an internal control report with respect to custodial services when you or your *related persons* are qualified custodians for *client* funds and securities.

If you checked Item 9.C.(2), C.(3) or C.(4), list in [Section 9.C. of Schedule D](#) the accountants that are engaged to perform the audit or examination or prepare an internal control report. (If you checked Item 9.C.(2), you do not have to list auditor information in [Section 9.C. of Schedule D](#) if you already provided this information with respect to the private funds you advise in [Section 7.B.\(1\) of Schedule D](#)).

- | | | | |
|---|---|-----------------------|----------------------------------|
| D. Do you or your <i>related person(s)</i> act as qualified custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? | | Yes | No |
| (1) | you act as a qualified custodian | ☐ | ☒ |
| (2) | your <i>related person(s)</i> act as qualified custodian(s) | ☐ | ☒ |

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in [Section 7.A. of Schedule D](#), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

- E. If you are filing your *annual updating amendment* and you were subject to a surprise examination by an *independent public accountant* during your last fiscal year, provide the date (MM/YYYY) the examination commenced:
07/2025
- F. If you or your *related persons* have *custody* of *client* funds or securities, how many *persons*, including, but not limited to, you and your *related persons*, act as qualified custodians for your *clients* in connection with advisory services you provide to *clients*?

SECTION 9.C. *Independent Public Accountant*

You must complete the following information for each *independent public accountant* engaged to perform a surprise examination, perform an audit of a pooled investment vehicle that you manage, or prepare an internal control report. You must complete a separate Schedule D Section 9.C. for each *independent public accountant*.

- (1) Name of the *independent public accountant*:
 ASHLAND PARTNERS & COMPANY LLP

(2) The location of the *independent public accountant's* office responsible for the services provided:

Number and Street 1:		Number and Street 2:	
3512 EXCEL DRIVE		SUITE 103	
City:	State:	Country:	ZIP+4/Postal Code:
MEDFORD	Oregon	United States	97504

(3) Is the *independent public accountant* registered with the Public Company Accounting Oversight Board?

If "yes," Public Company Accounting Oversight Board-Assigned Number:
 3783

(4) If "yes" to (3) above, is the *independent public accountant* subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?

(5) The *independent public accountant* is engaged to:

A. ☐ audit a pooled investment vehicle

B. ☒ perform a surprise examination of *clients'* assets

C. ☐ prepare an internal control report

(6) Since your last *annual updating amendment*, did all of the reports prepared by the *independent public accountant* that audited the pooled investment vehicle or that examined internal controls contain unqualified opinions?

☐ Yes

☐ No

☐ Report Not Yet Received

If you check "Report Not Yet Received", you must promptly file an amendment to your Form ADV to update your response when the accountant's report is available.

Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

- | | Yes | No |
|---|-----------------------|----------------------------------|
| A. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? | ☐ | ☒ |

If yes, complete [Section 10.A. of Schedule D](#).

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete [Section 10.B. of Schedule D](#).

SECTION 10.A. Control Persons	
No Information Filed	

SECTION 10.A. Control Persons	
No Information Filed	

SECTION 10.B. <i>Control Person</i> Public Reporting Companies	
	No Information Filed

SECTION 10.B. <i>Control Person</i> Public Reporting Companies	
	No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

	Yes	No
Do any of the events below involve you or any of your <i>supervised persons</i> ?	☐	☒

For "yes" answers to the following questions, complete a Criminal Action DRP:

A. In the past ten years, have you or any <i>advisory affiliate</i> :		Yes	No
(1)	been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any <i>felony</i> ?	☐	☒
(2)	been <i>charged</i> with any <i>felony</i> ?	☐	☒

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending.

B. In the past ten years, have you or any *advisory affiliate*:

(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a *misdemeanor* involving: investments or an *investment-related* business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? ☐ ☒

(2) been *charged* with a *misdemeanor* listed in Item 11.B.(1)? ☐ ☒

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For "yes" answers to the following questions, complete a Regulatory Action DRP:

		Yes	No
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:		
	(1) <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission?	☐	☒
	(2) <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of SEC or CFTC regulations or statutes?	☐	☒
	(3) <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	☐	☒
	(4) entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with <i>investment-related</i> activity?	☐	☒
	(5) imposed a civil money penalty on you or any <i>advisory affiliate</i> , or <i>ordered</i> you or any <i>advisory affiliate</i> to cease and desist from any activity?	☐	☒

D. Has any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority*:

(1) ever *found* you or any *advisory affiliate* to have made a false statement or omission, or been dishonest, unfair, or unethical?

(2)	ever <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of <i>investment-related</i> regulations or statutes?	☐	☒
(3)	ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	☐	☒
(4)	in the past ten years, entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with an <i>investment-related</i> activity?	☐	☒
(5)	ever denied, suspended, or revoked your or any <i>advisory affiliate's</i> registration or license, or otherwise prevented you or any <i>advisory affiliate</i> , by <i>order</i> , from associating with an <i>investment-related</i> business or restricted your or any <i>advisory affiliate's</i> activity?	☐	☒
E.	Has any <i>self-regulatory organization</i> or commodities exchange ever:		
(1)	<i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission?	☐	☒
(2)	<i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of its rules (other than a violation designated as a " <i>minor rule violation</i> " under a plan approved by the SEC)?	☐	☒
(3)	<i>found</i> you or any <i>advisory affiliate</i> to have been the cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	☐	☒
(4)	disciplined you or any <i>advisory affiliate</i> by expelling or suspending you or the <i>advisory affiliate</i> from membership, barring or suspending you or the <i>advisory affiliate</i> from association with other members, or otherwise restricting your or the <i>advisory affiliate's</i> activities?	☐	☒
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any <i>advisory affiliate</i> ever been revoked or suspended?	☐	☒
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	☐	☒
For "yes" answers to the following questions, complete a Civil Judicial Action DRP:			
H.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, <i>enjoined</i> you or any <i>advisory affiliate</i> in connection with any <i>investment-related</i> activity?	☐	☒
	(b) ever <i>found</i> that you or any <i>advisory affiliate</i> were <i>involved</i> in a violation of <i>investment-related</i> statutes or regulations?	☐	☒
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any <i>advisory affiliate</i> by a state or <i>foreign financial regulatory authority</i> ?	☐	☒
	(2) Are you or any <i>advisory affiliate</i> now the subject of any civil <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.H.(1)?	☐	☒

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- *Control* means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	☐	☐
If "yes," you do not need to answer Items 12.B. and 12.C.			
B.	Do you:		
(1)	<i>control</i> another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	☐	☐
(2)	<i>control</i> another <i>person</i> (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	☐	☐
C.	Are you:		
(1)	<i>controlled</i> by or under common <i>control</i> with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	☐	☐
(2)	<i>controlled</i> by or under common <i>control</i> with another <i>person</i> (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	☐	☐

1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
3. Do you have any indirect owners to be reported on Schedule B? ☒ Yes ☐ No
4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
6. Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
 A - 5% but less than 10% C - 25% but less than 50% E - 75% or more
7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
MERCER ADVISORS INTERMEDIATE HOLDINGS INC.	DE	PARENT COMPANY	04/2013	E	Y	N	
WELLING, CHARLES, DAVID	I	CHIEF EXECUTIVE OFFICER	06/2017	NA	Y	N	2961999
CALCAGNI, DONALD, ANDREW	I	CHIEF INVESTMENT OFFICER	10/2015	NA	Y	N	4203231
LORENZ, KIMBERLY, LYNN	I	CHIEF COMPLIANCE OFFICER	01/2021	NA	Y	N	7336686
CATALDO, CHRISTINE, ADELE	I	CHIEF TECHNOLOGY OFFICER	06/2021	NA	Y	N	3042889
ENCINO, MATHEW, RYAN	I	GENERAL COUNSEL	09/2021	NA	Y	N	7530163
FOODIM, GARY, IAN	I	CHIEF MARKETING OFFICER	06/2021	NA	Y	N	7531000
GOURVITCH, DANIEL, ALEXANDER	I	PRESIDENT	10/2022	NA	Y	N	4979126
KERESTECI, GUN, HASAN	I	CHIEF FINANCIAL OFFICER	02/2024	NA	Y	N	5800434
Hioe, Elizabeth, Schwartz	I	CHIEF PEOPLE OFFICER	11/2024	NA	Y	N	8044184

Schedule B

Indirect Owners

1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or

- have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
6. Ownership codes are: C - 25% but less than 50% E - 75% or more
 D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)
7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
GC WAVES HOLDINGS, INC.	DE	MERCER ADVISORS INTERMEDIATE HOLDINGS INC.	PARENT COMPANY TO MERCER ADVISORS INC.	03/2015	E	Y	N	
OG CRIMSON PARENT, INC.	DE	GC WAVES HOLDINGS, INC.	PARENT COMPANY TO GC WAVES HOLDINGS, INC.	10/2019	E	Y	N	
OG CRIMSON HOLDINGS, L.P.	DE	OG CRIMSON PARENT HOLDINGS I, INC.	PARENT COMPANY TO OG CRIMSON PARENT I HOLDINGS, INC.	01/2023	E	Y	N	
MERCER ADVISORS INC.	DE	MERCER ADVISORS INTERMEDIATE HOLDINGS INC.	PARENT COMPANY TO MERCER ADVISORS INTERMEDIATE HOLDINGS INC.	04/2013	E	Y	N	
OC CRIMSON PARENT HOLDINGS II, INC.	DE	OG CRIMSON PARENT, INC.	PARENT COMPANY TO OG CRIMSON PARENT, INC.	01/2023	E	Y	N	
OG CRIMSON PARENT HOLDINGS I, INC.	DE	OC CRIMSON PARENT HOLDINGS II, INC.	PARENT COMPANY TO OG CRIMSON PARENT HOLDINGS II, INC.	01/2023	E	Y	N	
OG CRIMSON HOLDINGS GENPAR, LLC	DE	OG CRIMSON HOLDINGS, L.P.	GENERAL PARTNER	09/2019	F	Y	N	
APH III PRIMETIME AGGREGATOR, L.P.	DE	OG CRIMSON HOLDINGS, L.P.	SHAREHOLDER	08/2023	C	Y	N	
ALTAS PARTNER HOLDINGS III(A) L.P.	DE	APH III PRIMETIME AGGREGATOR, L.P.	SHAREHOLDER	08/2023	C	Y	N	
ALTAS PARTNERS HOLDINGS III L.P.	DE	APH III PRIMETIME AGGREGATOR, L.P.	SHAREHOLDER	08/2023	C	Y	N	
APH III PRIMETIME CO-INVEST LP	DE	APH III PRIMETIME AGGREGATOR, L.P.	SHAREHOLDER	08/2023	C	Y	N	

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

Item 5.A The employee numbers in Item 5.A are generated by Mercer Global Advisors’ payroll system and do not reflect new employees that joined the firm due to acquisitions that closed on 12/31/2025 as the employee start date with the firm is 1/1/2026. Item 5.F.(2) Regulatory Assets Under Management reported in Item 5.F.(2) does not include all assets gained from recent acquisitions where the advisory agreements have been properly assigned to Mercer Global Advisors, but the custodial accounts have yet to be transferred and/or the accounts have yet to be migrated to our portfolio management system. Mercer Global Advisors provides a larger asset number in its marketing materials. Item 9.A Mercer Global Advisors has custody of client funds and securities where the firm acts pursuant to a Standing Letter of Authorization (“SLOA”) or other similar arrangement established by a client with a qualified custodian and authorizes Mercer Global Advisors to transfer client assets to a third party and designate the amount or timing of transfers with that custodian. Mercer Global Advisors does not maintain physical custody of client assets. Mercer Global Advisors has custody for a limited number of clients as we provide bill paying and reporting services where we have client log on information. In addition, Mercer Global Advisors financial advisors act, in limited instances, as trustee for client accounts. Because of these actions, Mercer Global Advisors Inc. is deemed to have custody of client assets and must undergo an independent examination at least once during each calendar year by an independent public accountant, pursuant to a written agreement between the firm and the accountant, at a time to be determined by the accountant without prior notice or announcement and that is irregular from year to year. Schedule B- All indirect owners with an ownership interest of 25% or more and current information are reported on Schedule B.

Schedule R

No Information Filed

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Are you exempt from delivering a brochure to all of your clients under these rules?

Yes No

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Part 3

CRS	Type(s)	Affiliate Info	Retire
☐	Investment Advisor		

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: JASMINE MCEVOY

Date: MM/DD/YYYY 07/06/2026

Printed Name:	Title:
JASMINE MCEVOY	SR. COMPLIANCE OPERATIONS ANALYST
Adviser CRD Number:	
147363	

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. *Non-Resident* Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
Printed Name:	Title:
Adviser CRD Number:	
147363	